

**6.4.2 Funds / Grants received from government bodies/non-government during the last five years for development and maintenance of infrastructure (not covered under Criteria III and V)
(INR in Lakhs)**

Year	Name of the non-government funding agencies/ individuals	Purpose of the Grant	Funds/ Grants received (INR in lakhs)	Link to Audited Statement of Accounts reflecting the receipts
2019	DST	FIST	63,00,000.00	<u>Attached below</u>
2019	UGC	SAP	8,50,000.00	<u>Attached below</u>

Sanction letter

No. SR-FST/LSI-631/2015(C)
GOVERNMENT OF INDIA
MINISTRY OF SCIENCE & TECHNOLOGY
DEPARTMENT OF SCIENCE & TECHNOLOGY
R & D (Infrastructure) DIVISION

Technology Branch
New Mehrauli Road
New Delhi - 110016

12th June, 2016

ORDER

Subject: Financial assistance (1st instalment) to the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006 (J&K) under FIST Program.

In partial modification of this Department's sanction letter of even no. dated 30th May, 2016 para no. 1 may please be substituted with

Sanction of the President is hereby accorded to the implementation of the aforesaid project at a total cost of Rs. 1,00,00,000 (Rupees One crore only) for 5 years at the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006 (J&K). The details of this are given below:

To augment the post-graduate teaching and research facilities in the Department

Capital Assets: Rs. 105.0 L

E-Rs. 80.0L [i) RT-PCR, ii) Microscope, iii) ELISA Reader, iv) Deep Freezers [-20°C and -80°C (01 each), v) Rotary Evaporator, vi) Refrigerator Centrifuge, vii) CO₂ Incubator, viii) Spectrofluorometer, ix) Spectrophotometer, x) Incubator shaker, and xi) Western Blot set up]

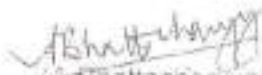
IF-Rs. 10.0 L [Books-Rs. 5.0 L and Renovation of Lab-Rs. 5.0 L]

General Components: Rs. 10.0L

M- Rs. 10.00 L

Total : Rs. 100.00 Lakh

2. The remaining contents of the earlier sanction order would remain unchanged.



(A. Bhattacharyya)
Scientist 'D'

Email: a.bhattacharyya@nic.in

Copy forwarded for information and necessary action to:

1. Pay & Accounts Officer, Department of Science & Technology, New Delhi - 110 016
2. Cash Section (with two spare copies)
3. Registrar
University of Kashmir
Srinagar-190006
(J&K)
4.  Head,
Department of Pharmaceutical Sciences
University of Kashmir
Srinagar-190006
(J&K)
5. Office of the Director & Audit, Scientific Department, AGCR Bldg, 3rd Floor, IP Estate, New Delhi - 110002
6. Office of Account General, J&K, Srinagar
7. FIST-Secretariat
8. CoA / IFD, DST, New Delhi
9. Head, R & D (Infrastructure), DST New Delhi
10. Sanction Folder

FIST file


(A. Bhattacharyya)
Scientist 'D'

Email: a.bhattacharyya@nic.in

No. SR/FST/LSI-631/2015(C)
GOVERNMENT OF INDIA
MINISTRY OF SCIENCE & TECHNOLOGY
DEPARTMENT OF SCIENCE & TECHNOLOGY
R & D (Infrastructure) DIVISION

Technology Bhawan
New Mehrauli Road
New Delhi -110016

30th May, 2016

Subject: Financial assistance (1st installment) to the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K) under FIST Program.

Sanction of the President is hereby accorded to the implementation of the aforesaid project at a total cost of Rs. 1,00,00,000/- (Rupees One crore only) for 5 years at the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K). The details of this are given below.

To augment the post-graduate teaching and research facilities in the Department.

Capital Assets: Rs. 105.0 L

E-Rs. 60.0L [i) RT-PCR, ii) Microscope, iii) ELISA Reader, iv) Deep Freezers [-20°C and -80°C (01 each), v) Rotary Evaporator, vi) Refrigerator Centrifuge, vii) CO₂ Incubator, viii) Spectrofluorometer, ix) Spectrophotometer, x) Incubator shaker, and xi) Western Blot set up]

IF-Rs. 10.0 L [Becks-Rs. 5.0 L and Renovation of Lab-Rs. 10.0 L]

General Components: Rs. 10.0L

M- Rs. 10.00 L

Total : Rs. 100.00 Lakh

2. Sanction of the President is also accorded to the release of Rs. 63,00,000/- (Rupees Sixty three lakh only) to the Registrar, University of Kashmir, Srinagar-190006, (J&K) under FIST Program as a 1st installment of the grant in 2016-2017 under 'creation of capital assets' head for the maximum cost of the aforesaid Equipment including (9.4%) Custom Duty & other duties under the 'Equipment'. The break-up of the 1st installment grant released now would be Equipment: Rs. 60.0 lakh for procurement of Equipments mentioned above [(Equipments of Foreign Origin to be acquired on F&E Terms only and should not include any comprehensive Maintenance charges during procurement process) & Infrastructure Facilities-Rs. 3.0 lakh (Books-1.0 L and Renovation of Lab-Rs. 2.0 L (i) Dampening old lab counter work including attached tiles/plaster/reinforcement, providing & fixing aluminum work for doors, windows, ventilators & partitions with extruded, vitrified tile in flooring, ceramic tile dado, Dismantling Brick Masonry & Dispose, Chasing walls/floors, repairing new opening for door & P&F new door, lab counter/modular readymade counter, removing dry or oil bound distemper, water proofing cement paint, applying white cement based putty, painting with synthetic etc.)]

2. The Department/College/Institute will appropriately limit the expenditure within the sanctioned amount in case of any expected excess expenditure. The Department is requested to utilize the released funds in first one year.

3. The Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K), shall implement the aforesaid project on FIST Program with the 'Terms and Conditions' already agreed to by the University. Further, as per Rule 2(1) of revised GFRs the accounts of this Project/Grants at Grantee Organization shall be made available for inspection by the sanctioning authority/ audit where ever the Organization is called upon to do so.

4. There is no pending SE/UC on this Project as per details in the PFMS also. This is the first release of this project under FIST Program, which has been initiated in this financial year so no previous UC is attached with this sanction order.

5. The University/ Institute/College will furnish to the DST, Utilization Certificate and an audited Statement of Expenditure pertaining to the grant immediately after the end of each financial year.

6. The grant is being released subject to the 'Terms & Conditions' of the Program being agreed to by the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K). The University/Institute/College will maintain separate audited accounts and would keep whole of the grant in a bank account earning interest, the interest earned should be reported to the DST. The interest thus earned will be treated as

94

The expenditure involved is to be debited to

Demand No - 77-Department of Science & Technology;
 "3425" Other Scientific Research (Major Head),
 60-Others (Sub-Major Head),
 60.200-Assistance to other Scientific Bodies (Minor Head),
 25-Research & Development Support
 25.01-Grants for Research & Development Support
 25.01.35-Grants for creation of capital assets for the year 2016-2017(Plan)

The above release is made under 'R&D' Scheme.

8. The amount of Rs. 63,00,000/- (Rupees Sixty three lakh only) will be disbursed to the Registrar, University of Kashmir, Srinagar-190006, (J&K) in its A/c No. CD0007010100000006, IFSC Code JAKA0NASEEM with The JAMMU AND KASHMIR BANK LTD, University Campus, HAZRATBAL, SRINAGAR-190015.
9. The sanction issues under the powers delegated to the Ministries and with the concurrence of the Integrated Finance Division, Department of Science & Technology vide their C Dy.No. 986 /IFD/2016-2017 dated. 25.05.2016
10. The sanction order is entered vide FIST Sl.No. 119 dated. 09.06.2016 in the register of grants.

A. Bhattacharyya
 (A. Bhattacharyya)
 Scientist 'D'

Email: a.bhattacharyya@nic.in

Copy forwarded for information and necessary action.

1. Pay & Accounts Officer, Department of Science & Technology, New Delhi - 110 016.
2. Cash Section (with two spare copies).
3. Registrar, University of Kashmir, Srinagar-190006, (J&K).
4. Head, Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K) (Pl. Note the New Project No.) In case the sanctioned amount transferred under this sanction is not received by the P.I within 15 days from the date of issue of the sanction, the P.I may intimate the fact with details of the IFD Diary No. & Date to the Joint Secretary and Financial Adviser at the e-mail address fin_adv_dst_dbt@gmail.com for looking into the matter and resolving it.
5. Office of the Director & Audit, Scientific Department, AGCR Bldg., 3rd Floor, IP Estate, New Delhi -110002
6. Office of Account General, J&K, Srinagar.
7. FIST-Secretariat
8. CoA / IFD, DST, New Delhi.
9. Head, R & D (Infrastructure), DST New Delhi.
10. Sanction Folder.

A. Bhattacharyya
 (A. Bhattacharyya)
 Scientist 'D'

Email: a.bhattacharyya@nic.in

Copy given forwarded to the Head, Department of Pharmaceutical Sciences to whom it pertains

*1 per
T10.*

*Received on
10/06/16*

*Amir. Rajis
(Dor)*

GOVERNMENT OF INDIA
MINISTRY OF SCIENCE & TECHNOLOGY
DEPARTMENT OF SCIENCE & TECHNOLOGY
R & D (Infrastructure) DIVISION

Technology Bhawan
New Mehrauli Road
New Delhi - 110016

12th June, 2016

ORDER

Subject: Financial assistance (1st installment) to the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K) under FIST Program.

In partial modification of this Department's sanction letter of even no. dated 30th May, 2016 para no. 1 may please be substituted with:

Sanction of the President is hereby accorded to the implementation of the aforesaid project at a total cost of Rs. 1,00,00,000/- (Rupees One crore only) for 5 years at the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006, (J&K) The details of this are given below:

To augment the post-graduate teaching and research facilities in the Department

Capital Assets: Rs. 105.0 L

E-Rs. 80.0L [i) RT-PCR, ii) Microscope, iii) ELISA Reader, iv) Deep Freezers [-20°C and -80°C (01 each), v) Rotary Evaporator, vi) Refrigerator Centrifuge, vii) CO₂ Incubator, viii) Spectrofluorometer, ix) Spectrophotometer, x) Incubator shaker, and xi) Western Blot set up]

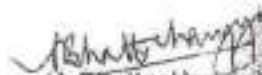
IF-Rs. 10.0 L [Books-Rs. 5.0 L and Renovation of Lab-Rs. 5.0 L]

General Components: Rs. 10.0L

M- Rs. 10.00 L

Total : Rs. 100.00 Lakh

- 2 The remaining contents of the earlier sanction order would remain unchanged.


(A. Bhattacharyya)
Scientist 'D'

Email: a.bhattacharyya@nic.in

Copy forwarded for information and necessary action to:

- 1 Pay & Accounts Officer, Department of Science & Technology, New Delhi - 110 016.
- 2 Cash Section (with two spare copies).
- 3 Registrar,
University of Kashmir,
Srinagar-190006,
(J&K).
- 4 Head,
Department of Pharmaceutical Sciences,
University of Kashmir,
Srinagar-190006,
(J&K)
- 5 Office of the Director & Audit, Scientific Department, AGCR Bldg., 3rd Floor, IP Estate, New Delhi -110002.
- 6 Office of Account General, J&K, Srinagar
- 7 FIST-Secretariat.
- 8 CoA / IFD, DST, New Delhi.
- 9 Head, R & D (Infrastructure), DST New Delhi.
- 10 Sanction Folder.


(A. Bhattacharyya)
Scir

Email: a.bhattacharyya@nic.in



GFR 12 – A

[(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION

UTILIZATION CERTIFICATE FOR THE YEAR 1st April 2019 to 31st March 2020 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank Rs. 8,74,766.00
 - (ii) Unadjusted advances Rs. Nil
 - (iii) Total Rs. 8,74,766.00
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2 - 3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
8,74,766.00	30,233.00	-	SR/FST/LSI-631/2015	-	-	9,04,999.00	-	9,04,999.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank Rs. 9,04,999.00
- (ii) Unadjusted Advances Rs. Nil
- (iii) Total Rs. 9,04,999.00



Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under FIST (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure - I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure - II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure -II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name

Chief Finance Officer

(Head of the Accounts Office of Kashmir)
Srinagar

Project Coordinator

Deptt. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

(Strike out inapplicable terms)

Signature

Name

Head of the Organization

Chartered Accountant



(for the Year of From 1st -April -2019 To 31st -March -2020)

1. Sanction Order No. & Date :SR/PST/LSI-631/2015Dated-30-May- 2016
2. Total Sanctioned ProjectCost (in Rs): Rs 100 Lakhs
3. Date of Commencementof the Project: 30th-May-2016
4. Grant Received in each year (inRs):

1 st Year	2 nd Year	3 rd Year	4 th Year	5 th Year	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	1,86,550.00	64,86,550.00

5. Statementof Expenditure

Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred						Balance	Remarks
			1 st Year	2nd Year	3rd Year	4th Year	5th Year	Total		
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,084.00			54,83,084.00	5,16,916.00	
2	Infrastructure Facilities(IF)	0.00	0.00	0.00	0.00			0.00	0.00	
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00	
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00	
5	Interest Earned /Accrued	0.00	0.00	0.00	0.00			0.00	1,86,550.00	
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,04,999.00	

Note :An amount of Rs.30,233/- earned/accrued as interest from bank during the year 2019-20

Name & Signature of Head of Department /Project Coordinator

 Date: 25.10.2021

Signature of Dean Research

*Dean Research
University of Kashmir*

Name & Signature of Competent Financial Authority

 Date: 25/10/2021
 The University of Kashmir
 Srinagar

Signature of Chartered Accountant



Note:

1. Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST. Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.



GFR 12 – A

[(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATIONUTILIZATION CERTIFICATE FOR THE YEAR 1st April 2020 to 31st March 2021 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank **Rs. 9,04,999.00**
 - (ii) Unadjusted advances **Rs. Nil**
 - (iii) Total **Rs. 9,04,999.00**
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2+3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
9,04,999.00	26,851.00	-	SR/FST/LSI-631/2015	-	-	9,31,850.00	-	9,31,850.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank **Rs. 9,31,850.00**
- (ii) Unadjusted Advances **Rs. Nil**
- (iii) Total **Rs. 9,31,850.00**

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under **FIST** (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure - I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure - II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure - II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name

Chief Finance Officer

(Head of the Finance)
The University of Kashmir
Srinagar

Project Coordinator

Deptt. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

(Strike out inapplicable terms)

Signature

Name

Head of the Organization

Chartered Accountant



(for the Year of From 1st-April-2020 To 31st-March-2021)

1. Sanction Order No. & Date :SR/FST/LS-031/2016 Dated-30-May-2016
2. Total Sanctioned Project Cost (in Rs): Rs 100 Lakhs
3. Date of Commencement of the Project: 30th-May-2016
4. Grant Received in each year (in Rs):

1st Year	2nd Year	3rd Year	4th Year	5th Year	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	2,13,401.00	65,13,401.00

5. Statement of Expenditure

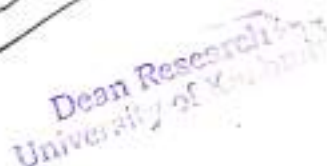
Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred					Balance	Remarks
			1st Year	2nd Year	3rd Year	4th Year	5th Year	Total	
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,004.00			54,83,004.00	5,16,916.00
2	Infrastructure Facilities (IF)	0.00	0.00	0.00	0.00			0.00	0.00
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00
5	Interest Earned /Accrued	0.00	0.00	0.00	0.00			0.00	2,13,401.00
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,11,850.00


Note :An amount of Rs-26,851/- earned/accrued as interest from bank during the year 2020-21


 Name & Signature of Head of Department /Project Coordinator
 Head, Deptt. of Pharmaceutical Sciences,
 University of Kashmir,
 Srinagar


Date: 25.10.2021


 Signature of Dean Research


 Dean Research
 University of Kashmir


 Name & Signature of Competent Financial Authority

Date: 
 Chief Accounts Officer
 The University of Kashmir
 Srinagar


 Signature of Chartered Accountant



Note:

1. Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST. Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.



GFR 12 – A

[(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION

UTILIZATION CERTIFICATE FOR THE YEAR 1st April 2021 to 29th May 2021 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank Rs. 9,31,850.00
 - (ii) Unadjusted advances Rs. Nil
 - (iii) Total Rs. 9,31,850.00
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2 - 3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
9,31,850.00	6,737.00	-	SR/FST/LSI-631/2015	-	-	9,38,587.00	-	9,38,587.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank Rs. 9,38,587.00
- (ii) Unadjusted Advances Rs. Nil
- (iii) Total Rs. 9,38,587.00

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under **FIST** (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name: 

Chief Financial Officer

(Head of the Finance)

Head,
Project on Pharmaceutical Sciences,
University of Kashmir,
Srinagar

Signature

Name: 

Head of the Organization

Chartered Accountant



(for the Year of From 1st -April -2021 To 29th- May -2021)

- Sanction Order No. & Date :SR/FST/LSI-631/2015 Dated-30-May- 2016
- Total Sanctioned ProjectCost (in Rs): Rs 100 Lakhs
- Date of Commencementof the Project: 30th-May-2016
- Grant Received in each year (inRs):

1stYear	2ndYear	3rdYear	4thYear	5thYear	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	2,20,138.00	65,20,138.00

5. Statementof Expenditure

Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred						Balance	Remarks
			1 st Year	2nd Year	3rd Year	4th Year	5th Year	Total		
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,084.00			54,83,084.00	5,16,916.00	
2	Infrastructure Facilities(IF)	0.00	0.00	0.00	0.00			0.00	0.00	
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00	
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00	
5	Interest Eamed /Accrued	0.00	0.00	0.00	0.00			0.00	2,20,138.00	
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,38,587.00	

Note :An amount of Rs 6,737/- earned/accrued as interest from bank during the year 2021-22 upto June 2021

Name & Signature of Head, Deptt. of Pharmaceutical Sciences, University of Kashmir, Srinagar
Date: 25.10.2021

Signature of Dean Research

Dean Research
University of Kashmir

Name & Signature of Competent Financial Authority
Office of the University of Kashmir, Srinagar

Signature of Chartered Accountant



Note:

- Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST. Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.



GFR 12 – A

[(See Rule 238 (1))]

**FORM OF UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION**

UTILIZATION CERTIFICATE FOR THE YEAR 1st April 2019 to 31st March 2020 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank **Rs. 8,74,766.00**
 - (ii) Unadjusted advances **Rs. Nil**
 - (iii) Total **Rs. 8,74,766.00**
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2 - 3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
8,74,766.00	30,233.00	-	SR/FST/LSI-631/2015	-	-	9,04,999.00	-	9,04,999.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank **Rs. 9,04,999.00**
- (ii) Unadjusted Advances **Rs. Nil**
- (iii) Total **Rs. 9,04,999.00**



Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under FIST (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure - I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure - II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure -II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name

Chief Finance Officer

(Head of the Department of Kashmir)
Srinagar

Project Coordinator
Deptt. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

(Strike out inapplicable terms)

Signature

Name

Head of the Organization

Chartered Accountant



(for the Year of From 1st -April -2019 To 31st -March -2020)

1. Sanction Order No. & Date :SR/PST/LSI-631/2015Dated-30-May- 2016
2. Total Sanctioned ProjectCost (in Rs): Rs 100 Lakhs
3. Date of Commencementof the Project: 30th-May-2016
4. Grant Received in each year (InRs):

1 st Year	2 nd Year	3 rd Year	4 th Year	5 th Year	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	1,86,550.00	64,86,550.00

5. Statementof Expenditure

Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred						Balance	Remarks
			1 st Year	2nd Year	3rd Year	4th Year	5th Year	Total		
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,084.00			54,83,084.00	5,16,916.00	
2	Infrastructure Facilities(IF)	0.00	0.00	0.00	0.00			0.00	0.00	
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00	
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00	
5	Interest Earned /Accrued	0.00	0.00	0.00	0.00			0.00	1,86,550.00	
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,04,999.00	

Note :An amount of Rs.30,233/- earned/accrued as interest from bank during the year 2019-20

Name & Signature of
Head of Department /Project Coordinator
Date: 25.10.2021

Head,
Deptt. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

Signature of
Dean Research

Dean Research
University of Kashmir

Name & Signature of Competent
Financial Authority
Date: 25/10/2021
The University of Kashmir
Srinagar

Signature of
Chartered Accountant



Note:

1. Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST.
Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.



GFR 12 – A

[(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE
FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATIONUTILIZATION CERTIFICATE FOR THE YEAR 1st April 2020 to 31st March 2021 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank **Rs. 9,04,999.00**
 - (ii) Unadjusted advances **Rs. Nil**
 - (iii) Total **Rs. 9,04,999.00**
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2+3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
9,04,999.00	26,851.00	-	SR/FST/LSI-631/2015	-	-	9,31,850.00	-	9,31,850.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank **Rs. 9,31,850.00**
- (ii) Unadjusted Advances **Rs. Nil**
- (iii) Total **Rs. 9,31,850.00**

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under FIST (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure - I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure - II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure - II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name

Chief Finance Officer

(Head of the Finance)
The University of Kashmir
Srinagar

Project Coordinator

Deptt. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

(Strike out inapplicable terms)

Signature

Name

Head of the Organization

Chartered Accountant



(for the Year of From 1st-April-2020 To 31st-March-2021)

1. Sanction Order No. & Date :SR/FST/LS-031/2016 Dated-30-May-2016
2. Total Sanctioned Project Cost (in Rs): Rs 100 Lakhs
3. Date of Commencement of the Project: 30th-May-2016
4. Grant Received in each year (in Rs):

1st Year	2nd Year	3rd Year	4th Year	5th Year	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	2,13,401.00	65,13,401.00

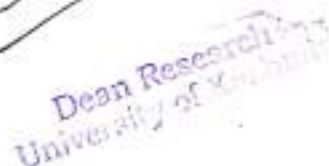
5. Statement of Expenditure


Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred					Balance	Remarks
			1st Year	2nd Year	3rd Year	4th Year	5th Year	Total	
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,004.00			54,83,004.00	5,16,916.00
2	Infrastructure Facilities (IF)	0.00	0.00	0.00	0.00			0.00	0.00
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00
5	Interest Earned /Accrued	0.00	0.00	0.00	0.00			0.00	2,13,401.00
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,11,850.00

Note :An amount of Rs-26,851/- earned/accrued as interest from bank during the year 2020-21



 Name & Signature of
 Head of Department /Project Coordinator
 Date: 25.10.2021


 Signature of
 Dean Research


 Dean Research
 University of Kashmir


 Name & Signature of Competent
 Financial Authority
 Date:


 The University of Kashmir
 Srinagar


 Signature of
 Chartered Accountant



Note:

1. Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST. Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.



GFR 12 – A

[(See Rule 238 (1))]

FORM OF UTILIZATION CERTIFICATE FOR AUTONOMOUS BODIES OF THE GRANTEE ORGANIZATION

UTILIZATION CERTIFICATE FOR THE YEAR 1st April 2021 to 29th May 2021 in respect of recurring/non-recurring

GRANTS-IN-AID/SALARIES/CREATION OF CAPITAL ASSETS

1. Name of the Scheme. **FIST**
2. Whether recurring or non-recurring grants **Both**
3. Grants position at the beginning of the Financial year
 - (i) Cash in Hand/Bank Rs. 9,31,850.00
 - (ii) Unadjusted advances Rs. Nil
 - (iii) Total Rs. 9,31,850.00
4. Details of grants received, expenditure incurred and closing balances: (Actual)

Unspent balances of Previous years [figure as at Sl.no 3(iii)]	Interest earned there on	Interest deposited back to the government	Grant received during the year			Total available funds 1+2 - 3+4	Expenditure incurred	Closing balance (5-6)
1	2	3	4			5	6	7
			Sanction no	(i) Date (ii)	Amount (iii)			
9,31,850.00	6,737.00	-	SR/FST/LSI-631/2015	-	-	9,38,587.00	-	9,38,587.00

Component wise utilization of grants:

Grant-in-aid-general	Grant-in-aid-salary	Grant-in-aid-creation of capital assets	Total
-	-	-	-

Details of grants position at the end of the year

- (i) Cash in Hand/Bank Rs. 9,38,587.00
- (ii) Unadjusted Advances Rs. Nil
- (iii) Total Rs. 9,38,587.00

Certified that I have satisfied myself that the conditions on which grants were sanctioned have been duly fulfilled/are being fulfilled and that I have exercised following checks to see that the money has been actually utilized for the purpose for which it was sanctioned:

- (i) The main accounts and other subsidiary accounts and registers (including assets registers) are maintained as prescribed in the relevant Act/Rules/Standing instructions (mention the Act/Rules) and have been duly audited by designated auditors. The figures depicted above tally with the audited figures mentioned in financial statements/accounts.
- (ii) There exist internal controls for safeguarding public funds/assets, watching outcomes and achievements of physical targets against the financial inputs, ensuring quality in asset creation etc. & the periodic evaluation of internal controls is exercised to ensure their effectiveness.
- (iii) To the best of our knowledge and belief, no transactions have been entered that are in violation of relevant Act/Rules/standing instructions and scheme guidelines.
- (iv) The responsibilities among the key functionaries for execution of the scheme have been assigned in clear terms and are not general in nature.
- (v) The benefits were extended to the intended beneficiaries and only such areas/districts were covered where the scheme was intended to operate.
- (vi) The expenditure on various components of the scheme was in the proportions authorized as per the scheme guidelines and terms and conditions of the grants-in-aid.
- (vii) It has been ensured that the physical and financial performance under **FIST** (name of the scheme has been according to the requirements, as prescribed in the guidelines issued by Govt. of India and the performance/targets achieved statement for the year to which the utilization of the fund resulted in outcomes given at Annexure – I duly enclosed.
- (viii) The utilization of the fund resulted in outcomes given at Annexure – II duly enclosed (to be formulated by the Ministry/Department concerned as per their requirements/specifications.)
- (ix) Details of various schemes executed by the agency through grants-in-aid received from the same Ministry or from other Ministries are enclosed at Annexure –II (to be formulated by the Ministry/Department concerned as per their requirements/specifications).

Date: 25-10-2021

Place: Srinagar

Signature

Name: 

Chief Financial Officer

(Head of the Finance)

Head,
Project on Pharmaceutical Sciences,
University of Kashmir,
Srinagar

Signature

Name: 

Head of the Organization

Chartered Accountant



(for the Year of From 1st -April -2021 To 29th- May -2021)

- Sanction Order No. & Date :SR/FST/LSI-631/2015 Dated-30-May- 2016
- Total Sanctioned ProjectCost (in Rs): Rs 100 Lakhs
- Date of Commencementof the Project: 30th-May-2016
- Grant Received in each year (inRs):

1stYear	2ndYear	3rdYear	4thYear	5thYear	Interest, if any	Total
63,00,000.00	NIL	NIL	NIL	NIL	2,20,138.00	65,20,138.00

5. Statementof Expenditure

Sr. No.	Sanctioned Budget Heads	Allocation of Funds (in Rs)	Expenditure incurred						Balance	Remarks
			1 st Year	2nd Year	3rd Year	4th Year	5th Year	Total		
1	Equipment (E)	60,00,000.00	0.00	0.00	54,83,084.00			54,83,084.00	5,16,916.00	
2	Infrastructure Facilities(IF)	0.00	0.00	0.00	0.00			0.00	0.00	
3	Books & Journals	1,00,000.00	0.00	0.00	98,467.00			98,467.00	1,533.00	
4	Renovation of Lab	2,00,000.00	0.00	0.00	0.00			0.00	2,00,000.00	
5	Interest Eamed /Accrued	0.00	0.00	0.00	0.00			0.00	2,20,138.00	
6	Total	63,00,000.00	0.00	0.00	55,81,551.00			55,81,551.00	9,38,587.00	

Note :An amount of Rs 6,737/- earned/accrued as interest from bank during the year 2021-22 upto June 2021

Name & Signature of Head, Deptt. of Pharmaceutical Sciences, University of Kashmir, Srinagar
Date: 25.10.2021

Signature of Dean Research

Deen Research University of Kashmir

Name & Signature of Competent Financial Authority
Office of the University of Kashmir, Srinagar

Signature of Chartered Accountant



Note:

- Expenditure under the sanctioned Heads, at any point of time should not exceed funds allocated under that Head, without prior approval of DST. Utilization Certificate for each financial year ending 31st March has to be closed along with request for carry forward permission to next year.

Utilization certificate
FORM GFR 19-A


For the period from 01.04.2018 To 31.03.2021

Certified that the grant of Rs.31,00,000.00 (Rupees Thirty One Lakh Only) was sanctioned by the University Grants Commission in favour of Coordinator SAP under Special Assistance DSR-II implemented by Department of Pharmaceutical Science, University of Kashmir vide UGC letter No. F.3-15/2018/DSR-II(SAP-II) Dated 23-04-2018 and Rs. 8,50,000.00 (Rupees Eight Lakh Fifty Thousand Only) was released during the year 2018-19 and Rs. Nil on account of unspent balance of the previous year, and Rs. 19,225.00 earned /accrued as interest from bank, a sum of Rs.3,00,698.00 (Rupees Three Lakh Six Hundred & Ninety Eight Only) has been utilized for the purpose for which it was sanctioned and that the balance of Rs.5,68,527.00 (Rupees Five Lakh Sixty Eight Thousand Five Hundred & Twenty Seven Only) remains unutilized at the end of the period.

Certified that we have satisfied ourselves that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised/ Verification


1. All Usual Audit Checks


SAP Coordinator


DIRECTOR FINANCE

Chief Accounts Officer
The University of Kashmir
Srinagar


REGISTRAR


HEAD OF DEPARTMENT

Deptt. of Pharmaceutical Science,
University of Kashmir
Srinagar


CHARTERED ACCOUNTANT

STATEMENT OF EXPENDITURE FOR THE PERI

14-2018 to 31-03-2021

Project/Scheme : "Special Assistance Programme DSR-II"

Name of Funding Agency: University Grants Commission, New Delhi

Name of Implementing Agency: Department of Pharmaceutical Science, University of Kashmir

Coordinator SAP : Prof. Z.A Bhat

Sanction Letter No: F.3-15/2018/DSR-II(SAP-II) Dated: 23-04-2018

Amount Sanctioned: Rs.31,00,000.00 (Rupees Thirty One Lakh Only)

S.No	Sanctioned Heads	Amount sanctioned	Grant Released	Interest earned /accrued	Total Amount Available for Expenditure	Expenditure Incurred			Total Expenditure Incurred	Unspent Balance	Remarks
						1st Year (2018-19)	2nd Year (2019-20)	3rd Year (2020-21)			
1	2	3	4	5	6= (4+5)	7	8	9	10=(7+8+9)	11=(6-10)	12
1	Contingency	₹ 5,00,000.00	₹ 1,00,000.00		₹ 1,00,000.00	₹ 96,699.00	₹ -	₹ -	₹ 96,699.00	₹ 3,301.00	
2	Chemical	₹ 8,00,000.00	₹ 1,60,000.00		₹ 1,60,000.00	₹ 1,57,054.00	₹ -	₹ -	₹ 1,57,054.00	₹ 2,946.00	
3	Travel	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 50,000.00	
4	Visiting Fellow	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 50,000.00	
3	Seminar (3)	₹ 6,00,000.00	₹ 2,00,000.00		₹ 2,00,000.00	₹ -	₹ -	₹ -	₹ -	₹ 2,00,000.00	
4	Hiring the services	₹ 1,50,000.00	₹ 30,000.00		₹ 30,000.00	₹ -	₹ -	₹ -	₹ -	₹ 30,000.00	
5	Advisory Committee	₹ 3,00,000.00	₹ 60,000.00		₹ 60,000.00	₹ -	₹ -	₹ -	₹ -	₹ 60,000.00	
6	Books & Journals	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ 46,945.00	₹ -	₹ -	₹ 46,945.00	₹ 3,055.00	
6	Project Fellow	Actual	₹ 1,50,000.00		₹ 1,50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 1,50,000.00	
6	Interest earned /accrued	₹ -	₹ -	₹ 19,225.00	₹ 19,225.00	₹ -	₹ -	₹ -	₹ -	₹ 19,225.00	
7	Total	₹ 31,00,000.00	₹ 8,50,000.00	₹ 19,225.00	₹ 8,69,225.00	₹ 3,00,699.00	₹ -	₹ -	₹ 3,00,699.00	₹ 5,68,527.00	

DR. Z.A. BHAT
Coordinator
UGC Sponsored Programme (SAP)
Dept. of Pharmaceutical Science
University of Kashmir

Dated : 25-10-2021

Place : Srinagar

Director Finance
Chief Accounts Officer
The University of Kashmir
Srinagar

Registrar
Registrar
University of Kashmir
Hastnagar, Srinagar.

Head of Department

Head of Department
Dept. of Pharmaceutical Sciences,
University of Kashmir,
Srinagar

Chartered Accountant

(1)
Forwarded to concerned
Coordinator SAP, Deptt. of
Pharmaceutical Sciences for
necessary information if any



V.2018-Rgo-7562

Coordinator, SAP,
Deptt. of Pharmaceutical Sciences
No. F.3-15/2018/DRS-II(SAP-II)

UNIVERSITY GRANTS COMMISSION
BAILADUR (SHAH ZAFAR MARG
NEW DELHI - 110 002

S. O. (Res)

10/15/18
10/15

23 APR 2018

The Registrar,
University of Kashmir,
Srinagar-190 006

Sub.: University Grants Commission assistance to the selected department under Special Assistance Programme (SAP)- Review of the Programme in the Department of Pharmaceutical Sciences, University of Kashmir, Srinagar-190006 for upgradation /continuation from DRS-I to DRS-II for a period of 5 years (01-04-2018 to 31-03-2023) Subject to availability of funds and continuation of the scheme beyond 31-03-2019.

Sir,

1. UGC's Special Assistance Programme (SAP) is intended through constant effort to raise the quality of teaching/ research in different disciplines in Bio-Sciences, Sciences, Engineering & Technology, Humanities, Social Science departments and carefully selected on the basis of their work, academic achievements and viable potential for further development. The essence and primary aim of the scheme is combination of teaching and research to encourage group research efforts in pursuit of excellence.
2. The Department of Pharmaceutical Sciences was at DRS-I of the SAP programme at Phase-I approved for a duration of five years for 01.04.2011 to 31.03.2016.
3. As per guidelines, the Commission constituted an Expert Committee to review the progress of abovesaid department on completion of tenure under the Special Assistance Programme (SAP). The Expert Committee Reviewed the department on 15th December, 2016 in the office of UGC New Delhi.
4. The Review Committee, after a very careful and critical in-depth examination of the academic achievements of the department during the term as well as various aspects of implementation of the programme with the departmental representatives has submitted their recommendations to the Commission.
5. UGC has approved the Department of Pharmaceutical Sciences from DRS-I to DRS-II programme for a period of Five years from 01-04-2018 to 31-03-2023. Period from 1-4-2016 to 31-03-2018 may be treated as gap years.
6. On the basis of the recommendations of the Review Committee, approval of the University Grants Commission is conveyed for continuation/Up-gradation of the programme at the level of DRS-II for 5 years with following thrust area(s) for research and teaching.

10/15/18

Dean Research
10/15/18

For
P.T.O.
10/15/18

Thrust Areas Identified

- High altitude medicinal plants.
- Antioxidant and Hepatoprotective activities.
- Development of formulations of bioactive fractions.

As recommended by the Review Committee, name of the Co-ordinator & the deputy Co-ordinator of the Programme for the present phase will be

- Name of the Co-ordinator :- **Prof. Z.A. Bhat**
- Name of the Dy. Co-ordinator:-**Dr. Nisar Ahmed Khan**

The Co-ordinator may continue till the end of the present duration of the programme or till his/her superannuation.

7. The financial assistance approved for implementing the present phase at the level of **DRS-II** for a duration of **5 years (01-04-2018 to 31-03-2023)** is given below : -

S. No.	Non-Recurring (Items) (Rs. In Lakh)	Rs. (In Lakh)
	Equipment	88.50
	Agilent FTIR, Rota-Vapor (2 NOS)(R-100) System, Flash Chromatography (R-100) System, EPM with Videographic, module and software, Shimadzu UV Spectrophotometer, Mini Rotary press (R&D), Stability Test Chamber (3 Nos), Fluidized bed Dryer (R&D scale), Moisture Analyzer, Lab. Aid (Electronic pH meter, Flowability Measuring Apparatus (Reposograph), electronic sieve shaker, vernier caliper etc	
	TOTAL	88.50
S. No.	Recurring	
1.	Contingency/Working expenses @ Rs.1.00 p.a.	5.00
2.	Chemicals/Consumables/Glassware @ Rs.1.60 p.a.	8.00
3.	Travel/Field facilities/Field trips for Faculty members only (all within India Only) @Rs.0.50 p.a.	2.50
4.	Visiting Fellows @ Rs.0.50 p.a.	2.50
5.	Seminars (organization) on thrust area @ Rs.2.00 p.a. for 3 seminars	6.00
6.	Hiring the services of Technical/Industrial/Secretarial assistance as relevant to the programme (for programme duration only) @ Rs.0.30 p.a.	1.50
7.	Advisory Committee meetings (TA/DA for UGC nominee in the committee) @ Rs.0.60 p.a.	3.00
8.	Books and Journals @ Rs.0.50 p.a.	2.50
9.	No. of Project Fellow(s): 1 (One)	Actual
	Total(R + PF)	31.00 + One PF (Actual)
	Grand total (NR + R + PF)	119.5 + One PF (Actual)

(Rs. In lakh)

Non - Recurring
Recurring

Rs. 88.50 LAKHS
Rs. 31.00 LAKHS + One PF (Actual)

****Total (NR + R) for 5 years = Rs. 119.50 LAKHS + One PF (Actual)**

(Rupees One Crore Nineteen Lakh Fifty Thousand only) + One Project Fellow (Actual)

****The aforesaid approval is up to 31-03-2019 only. Further the scheme may continue subject to concurrence and availability of funds from Ministry of Human Recourse Development (MHRD).**

P.T.O.

8. The University is to maintain a separate **flexi saving bank account** for the grants released under Special Assistance Programme. Interests earned against Grants-in-aid (Other than reimbursement) released to any grantee institutions should be mandatorily remitted to UGC account immediately after finalization of accounts. Any interest earned out of Grants in aid should not be allowed as additional funds over and above the allocation.

9. **The University/ Department shall follow the SAP Guidelines posted on the UGC website.**

10. For appointment of Project Fellow, UGC guidelines for SAP/MRP shall be followed. The details of the appointed Project Fellow duly authenticated by the competent authority are to be send to UGC as per the enclosed format. However, following documents are to be retained by the University /Department and furnished to UGC as and when called for:-

- i) Copy of Notification/Advertisement of the vacancy
- ii) Copy of Minutes/Recommendation of the Selection Committee constituted for appointment of Project Fellow
- iii) Copy of University Order to the appointment of the Project Fellow
- iv) Copy of Joining Report of Project Fellow
- v) Attested copy of P.G. Mark Sheet
- vi) Attested copy of Cast/Disability Certificate in case the candidate belongs to reserved category and obtained below 55% marks
- vii) Attested copy of Matriculation Certificate for age proof.
- viii) HRA certificate duly singed by the Registrar.
- ix) Bio-data in respect of Project fellow.
- x) Month-wise salary expenditure statement in respect of each Project Fellow.
- xi) **Age of project fellow should be below 40 years.**

11. The University/Institute shall follow the norms for appointment of Programme Co-ordinator and Deputy Co-ordinator (no Joint Co-ordinator or Co-ordinator) and also constitute an Advisory Committee as per the guidelines of the Commission which can be downloaded from UGC's website www.ugc.ac.in and follow the terms of reference of the Advisory Committee to ensure effective implementation and monitoring of the Programme. The constitution of the Advisory Committee is compulsory for all departments which are being supported under SAP. The UGC nominees in the Committee will be as indicated below. The departments shall contact the UGC nominees for their acceptance and intimate the Commission.


1) **Prof. S.S. Sharma, Pharmacology, National Institute of Pharmaceutical Education and Research, Mohali-160 062.**

2) **Prof. Biswajit Mukherjee, Pharmaceutics, Jadavpur University, Kolkata-700 032.**

The active participation of the UGC nominees in each meeting of the Advisory Committee is essential. The composition and terms & reference of the Advisory Committee will be as given in the UGC website www.ugc.ac.in.

12. The University/Institute/Department is requested to take immediate steps to submit the following information/documents for necessary action:
- i) Acceptance of the terms and conditions of the grants duly signed by the Registrar of the University/Institute.
 - ii) Name of the competent University Officer with full address and other bank details in (mandate form) the prescribed enclosed proforma so that the fund can be transferred electronically.
 - iii) Detailed statement of year-wise actual expenditure incurred against the grants allocated, sanctioned during the last phase may be submitted in the PROFORMA in the Annexure-V, of SAP Guidelines duly audited and certified by the Competent authority, in order to finalize the accounts of the earlier phase.
 - iv) Name of the Department Co-ordinator and Dy. Co-ordinator indicating (i) present designation (ii) specialised areas(s) of research and (iii) date of superannuation. List of members of the Advisory Committee constituted by the university/ institute as per guidelines.
 - v) Year-wise academic programme and action proposed to be undertaken by the department during the period of **5 years** to implement the programme.
 - vi) List of members of the Advisory Committee constituted by the university/institute as per guidelines.
 - vii) The annual report of the work done during the year (as per effective date of the programme) should be submitted by the Programme Co-coordinator highlighting the achievements in research and teaching and indicating separately the progress in procuring of equipment / construction of Building (only addition, alteration and renovation, if sanctioned under the programme) and the list of papers published in referred journal during the year positively reported by the end of every year.
 - viii) A Certificate from the Registrar of the university that the department is not self-finance and is eligible to receive the UGC financial assistance.
13. The University/Institute shall take all possible measures to ensure effective implementation of policies of Government of India relating to SC/ST students and teachers in regard to the UGC programme. In case of non-teaching staff, the policies of the Central Government in respect of Central Universities and of the State Government in respect of State Universities shall be implemented.
14. The first installment of admissible grant will be released separately. In the meantime, the University should submit the requisite information requested vide para 12 (i to viii) by return of post.
15. No request for any change in the effective date will be considered.
16. The orders for purchase of equipment is to be placed within six months from the date of receipt of the grant by the university.
17. The second and subsequent installment of grant for any approved items will be considered and sanctioned only on receipt of the Utilization Certificate for the earlier installment in the prescribed form duly signed by the Registrar/Finance Officer as the case may be.
18. The Non Recurring Grant approved will be released only after settlement of the previous accounts of SAP programme.

19. Non-recurring Grant released by UGC should be utilized by the department/university positively within a period of three years from the date of receipt of grant, otherwise UGC may ask for refund of the un-utilized amount of non-recurring grant.
20. The University/Institution shall include a specific condition in the Utilization Certificate, in respect of any financial assistance or grants-in-aid to any institution under any of the general or special schemes of the Commission that the University/Institution has complied with the anti-ragging measures by stating that:
- "The University/ Institution/College is strictly following the UGC Regulations on curbing the menace of Ragging in Higher Educational Institutions, 2009".

Yours Sincerely,

(Mamta R. Agarwal)
Joint Secretary

NOTE:- Please see SAP guidelines on UGC website www.ugc.ac.in.

Copy forwarded for information and necessary action to:-

Prof. Z.A. Bhat,
Co-ordinator (DRS-II),
Department of Pharmaceutical Sciences,
University of Kashmir,
Srinagar-190 006.

Copy for information to:

1. The P.S. to Vice Chancellor,
University of Kashmir, Srinagar-190 006.
2. The Head,
Department Pharmaceutical Sciences, University of Kashmir, Srinagar-190 006.
3. Prof. S.S. Sharma,
National Institute of Pharmaceutical Education and Research, Mohali-160 062.
4. Prof. Biswajit Mukherjee,
Pharmaceutics, Jadavpur University, Kolkata-700 032.
5. The Secretary to the State Government of Jammu & Kashmir,
Department of Education Srinagar.
6. Guard File.
7. F.No.3-56/2011 (SAP-II)

(Nirmal Kaur)
Under Secretary

Utilization certificate
FORM GFR 19-A

For the period from 01.04.2018 To 31.03.2021

Certified that the grant of Rs.31,00,000.00 (Rupees Thirty One Lakh Only) was sanctioned by the University Grants Commission in favour of Coordinator SAP under Special Assistance DSR-II implemented by Department of Pharmaceutical Science, University of Kashmir vide UGC letter No. F.3-15/2018/DSR-II(SAP-II) Dated 23-04-2018 and Rs. 8,50,000.00 (Rupees Eight Lakh Fifty Thousand Only) was released during the year 2018-19 and Rs. Nil on account of unspent balance of the previous year, and Rs. 19,225.00 earned /accrued as interest from bank, a sum of Rs.3,00,698.00 (Rupees Three Lakh Six Hundred & Ninety Eight Only) has been utilized for the purpose for which it was sanctioned and that the balance of Rs.5,68,527.00 (Rupees Five Lakh Sixty Eight Thousand Five Hundred & Twenty Seven Only) remains unutilized at the end of the period.

Certified that we have satisfied ourselves that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled and that we have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised/ Verification

1. All Usual Audit Checks


SAP Coordinator


DIRECTOR FINANCE

Chief Accounts Officer
The University of Kashmir
Srinagar


REGISTRAR


HEAD OF DEPARTMENT

Dept. of Pharmaceutical Science
University of Kashmir
Srinagar


CHARTERED ACCOUNTANT

STATEMENT OF EXPENDITURE FOR THE PERIOD

14-2018 to 31-03-2021

Project/Scheme : "Special Assistance Programme DSR-II"
Name of Funding Agency: University Grants Commission, New Delhi
Name of Implementing Agency: Department of Pharmaceutical Science, University of Kashmir
Coordinator SAP : Prof. Z.A. Bhat
Sanction Letter No: F-3-15/2018/DSR-II(SAP-II) Dated: 13-04-2018
Amount Sanctioned Rs. 31,00,000.00 (Rupees Thirty One Lakh Only)

S.No	Sanctioned Heads	Amount sanctioned	Grant Released	Interest earned / accrued	Total Amount Available for Expenditure	Expenditure Incurred			Total Expenditure Incurred	Unspent Balance	Remarks
1	2	3	4	5	6= (4+5)	1st Year (2018-19)	2nd Year (2019-20)	3rd Year (2020-21)	10= (7+8+9)	11= (6-10)	12
1	Contingency	₹ 4,00,000.00	₹ 1,00,000.00		₹ 1,00,000.00	₹ 96,699.00	₹ -	₹ -	₹ 96,699.00	₹ 3,301.00	
2	Chemical	₹ 4,00,000.00	₹ 1,60,000.00		₹ 1,60,000.00	₹ 1,57,054.00	₹ -	₹ -	₹ 1,57,054.00	₹ 2,946.00	
3	Travel	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 50,000.00	
4	Visiting Fellow	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 50,000.00	
5	Seminar (3)	₹ 6,00,000.00	₹ 2,00,000.00		₹ 2,00,000.00	₹ -	₹ -	₹ -	₹ -	₹ 2,00,000.00	
6	Hiring the services	₹ 1,50,000.00	₹ 30,000.00		₹ 30,000.00	₹ -	₹ -	₹ -	₹ -	₹ 30,000.00	
7	Advisory Committee	₹ 3,00,000.00	₹ 60,000.00		₹ 60,000.00	₹ -	₹ -	₹ -	₹ -	₹ 60,000.00	
8	Books & Journals	₹ 2,50,000.00	₹ 50,000.00		₹ 50,000.00	₹ 46,945.00	₹ -	₹ -	₹ 46,945.00	₹ 3,055.00	
9	Project Fellow	Actual	₹ 1,50,000.00		₹ 1,50,000.00	₹ -	₹ -	₹ -	₹ -	₹ 1,50,000.00	
10	Interest earned / accrued	₹ -	₹ -	₹ 19,225.00	₹ 19,225.00	₹ -	₹ -	₹ -	₹ -	₹ 19,225.00	
11	Total	₹ 31,00,000.00	₹ 8,50,000.00	₹ 19,225.00	₹ 8,69,225.00	₹ 3,40,698.00	₹ -	₹ -	₹ 3,40,698.00	₹ 5,68,517.00	

DR. A. BHAT
Coordinator

USC Coordinator SAP I Program (SAP)
Dept. of Pharm Science
University of Kashmir
Srinagar

Director Finance
Chief Accounts Officer
The University of Kashmir
Srinagar

Registrar
University of Kashmir
Haramulla, G. Srinagar

Head of Department
Dept. of Pharmaceutical Sciences
University of Kashmir
Srinagar

Chartered Accountant

Dated : 25-10-2021
Place : Srinagar